



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L9453/2024/1	Licence file number:	DER2024/000427 / INS-0002816
Licence holder name:	Ulysses Mining Pty Ltd		
Trading as:	Genesis Minerals Limited		
ACN:	153 592 208		
Registered business address:	Level 7, 40 The Esplanade, Perth, WA, 6000		
Reporting period:	01/01/25 to 31/12/25		

Section B – Statement of compliance with licence conditions
Did you comply with all of your license conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 6: Mine dewatering	144,902
Category 89: Putrescible landfill	439 tonnes

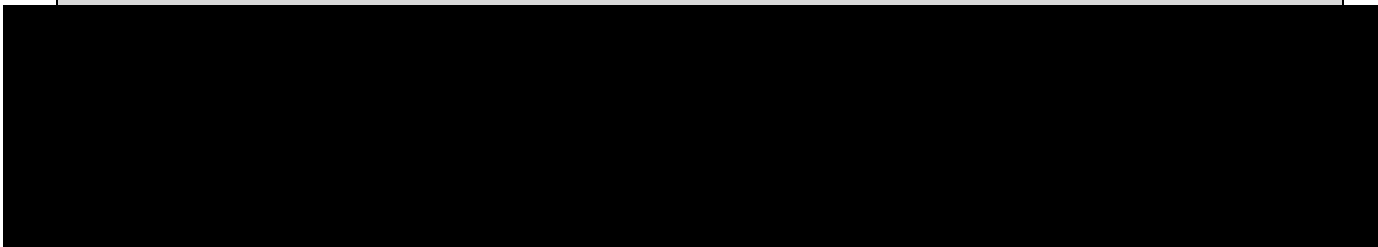
Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Category 6: Mine Dewatering	0 (dewatering discharge repurposed across mining operation)

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Condition 2	Date(s) of non-compliance:	01/04/25 - 23/08/25
Details of non-compliance:			
<p>Failure to formally record the Ulysses Central to Danluce pipeline and the Ulysses West to Ulysses Central pipeline inspections whilst dewatering from 01/04/25 to 23/08/25, as specified in Condition 2 of L9453/2024/1.</p> <p>This was picked up by an internal environmental audit of pipeline telemetry and inspection compliance.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No Impact			
Cause (or suspected cause) of non-compliance:			
During the period between 01/04/25– 23/08/25 inspections of the Ulysses Central to Danluce pipeline and the Ulysses West to Central Pipeline were conducted, however not formally recorded. This occurred due to a lack of communication with new approvals compliance requirements, a change of mine management, and period of time between granting of the Licence and operation of the dewatering network, resulting in the Operational team not being aware of the new inspection recording and reporting requirements.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
<p>The non-compliance was reported internally on 23/08/2025 following an internally instigated audit of dewatering inspections. A new inspection checklist was developed and uploaded to the Fast Fields system by 25/08/2025, with inspection records commencing the same day (Appendix A). Communication outlining the inspection requirements was issued to all supervisors by 05/09/2025 to ensure the operational team was fully aware of inspection obligations.</p> <p>In addition, an environmental approval handover process was implemented to ensure licence conditions and approval requirements are formally communicated during changes in responsibility or project phases (Appendix B).</p>			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.



Date:	30/01/26	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.




APPENDIX A

Dewatering Compliance Checklist

Section 1

Project Name	ABCDK
Person Performing the inspection	Peter Rodgers
Inspection date	25/08/2025
Pipeline Inspected 1	ULY- DAN
	25/08/2025 06:43 AM
Is the pipeline active?	No
	25/08/2025 06:43 AM
Any visual leaks?	No
Did you stop the pump and notified the supervisor?	
Any visual structural damage?	No
Did you stop the pump and notified the supervisor?	
Upload photo of the damage/Leak	
Pipeline Inspected 2	ADM-DAN
	25/08/2025 06:43 AM
Is the pipeline active?	No
	25/08/2025 06:43 AM
Any visual leaks?	No
Did you stop the pump and notified the supervisor?	
Any visual structural damage?	No
Did you stop the pump and notified the supervisor?	
Upload photo of the damage/Leak	
Pipeline Inspected 3	KNG-DAN
	25/08/2025 06:43 AM
Is the pipeline active?	No
	25/08/2025 06:43 AM
Any visual leaks?	No
Did you stop the pump and notified the supervisor?	
Any visual structural damage?	No
Did you stop the pump and notified the supervisor?	
Upload photo of the damage/Leak	

Dewatering Compliance Checklist

Pipeline Inspected 4	BTFL-DAN
	25/08/2025 06:43 AM
Is the pipeline active?	No
	25/08/2025 06:43 AM
Any visual leaks?	No
Did you stop the pump and notified the supervisor?	
Any visual structural damage?	No
Did you stop the pump and notified the supervisor?	
Upload photo of the damage/Leak	
Pipeline Inspected 4	CLK-DAN
	25/08/2025 06:43 AM
Is the pipeline active?	No
	25/08/2025 06:43 AM
Any visual leaks?	No
Did you stop the pump and notified the supervisor?	
Any visual structural damage?	No
Did you stop the pump and notified the supervisor?	
Upload photo of the damage/Leak	
Acknowledgment	<p>I hereby confirm that the information provided in this Dewatering Inspection Form is accurate and complete to the best of my knowledge. I understand that this inspection is a required part of maintaining compliance with environmental regulations and project-specific dewatering requirements.</p> <p>I acknowledge my responsibility to report any deficiencies or non-compliance issues observed during the inspection and to ensure that appropriate corrective actions are taken.</p> <p>Thank You</p>
Signature	

APPENDIX B: ENVIRONMENTAL APPROVAL HANDOVER CHECKLIST

Information to be entered by approval team				
Project (EGS)				
Approval type				
New or amendment				
Approval ID				
Description of approval				
Verification required	Amendment required			
	Yes	No	N/A	Details of action to be taken
Site:				
○ Obligations register	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ New studies, surveys	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Reporting changes (AER, CCR, TLO)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Risk registers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ EMP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Other EMS changes (Management plans, procedures)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Waste Management Plans	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Inspections checklists and schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Monitoring Schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Monitoring elements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Lab quotes				
○ ESDAT (can be more detailed if required, such as standards, limits, notifications, coordinates, schedules)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ GIS Disturbance and allocation data and trackers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ GIS Monitoring data	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ GIS Maps (approval boundaries/ exclusion zones)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Identify stakeholders and communication required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

○ All files stored in the required location	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Budget and resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Systems and Compliance				
○ Corporate risk register	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ EMS corporate level changes required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ IT systems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
○ Sustainability checks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Other				
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	