



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V Division 3*

Once completed, please submit this form either via email to [info@dwer.wa.gov.au](mailto:info@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 10  
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L9176/2018/1	Licence file number:	DER2018/001555
Licence holder name:	Iluka Resources Limited		
Trading as:	Iluka Resources Limited		
ACN:	008 675 018		
Registered business address:	Level 14, 120 St Georges Terrace, Perth WA 6000		
Reporting period:	01 / 01 / 2020 to 31 / 12 / 2020		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required); and</li><li>• sign the declaration in Section F.</li></ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required);</li><li>• section E; and</li><li>• sign the declaration in Section F.</li></ul>

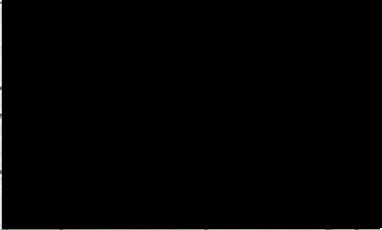
Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
8 – Mineral Sands mining or processing	519,631 t HMC
6 – Mine Dewatering	5,284,574 KL

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Tailings	9,121,880 t
Water to allow mining of ore	0

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	16	Date(s) of non-compliance:	4/01/2020
Details of non-compliance:			
<p>Failure to complete all required Total Suspended Particle (TSP) monitoring as per the licence condition frequency “ At least once every six days” for a duration of “Minimum 24-hours”.</p> <p>One sampling event, on the 4<sup>th</sup> January 2020, was missed due to the failure of monitoring equipment/ instrumentation.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p><b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
There are no expected environmental impacts due to this non-compliance. The location of the non-compliance is AQ2 shown in the map Figure 15 provided.			
Cause (or suspected cause) of non-compliance:			
One sampling event was missed during the reporting period (4/01/20) due to a clock programming error (EcoTech HV3000) reported as a global issue by the service provider. The error led to a gap in data collection between 30/12/2019 – 11/01/2020 which exceeds the minimum requirement of every 6-days.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
As soon as this issue was identified Iluka’s (external) technical support contractor provided remote assistance to Iluka environmental personnel to help manually reset the instrumentation as a temporary fix ahead of the contractor being available to attend site and complete the repair. As Iluka were advised this issue was widespread across a global “cloud-based” network it is understood that the problem has been resolved by the service provider and will not recur in the future.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> **NO – this non-compliance was not reported to DWER			
<input type="checkbox"/> Reported to DWER verbally	Date: / /		
<input type="checkbox"/> Reported to DWER in writing	Date: / /		

**Section F – Declaration**

I/We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>. I/We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation’s (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:	31-3-2021	Date:	
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.