



Government of **Western Australia**
Department of **Water and Environmental Regulation**

Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details	
Licence number:	L9124/2018/1
Licence holder name:	
Trading as:	
ACN:	
Registered business address:	
Reporting period:	01 / 10 / 2019 to 30 / 09 / 2020

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D (if required); and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D (if required);• section E; and• sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 5 – Processing or beneficiation of ore 900,000 tonnes / annual period	711,633 tonnes

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Category 61 – Liquid Waste Facility 1,300 tonnes / annual period	853.1 tonnes

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	2.4.1	Date(s) of non-compliance:	2020-2021 annual period
Details of non-compliance:			
<p>Monthly groundwater levels have not been taken at every monitoring location. However, monthly levels have been taken at both a selection of monitoring bores surrounding and downgradient of the TSF together with water levels from production bores WB01, WB02 and WB03, which are situated in reasonable proximity to the TSF on the downgradient side. This compliments the quarterly monitoring of the entire network undertaken by Tetra Tech Coffey. It is understood that production bore water levels will be influenced by pumping however, rest water levels may be observed at times when bores have been non-operational.</p>			
<p>What was the actual (or suspected) environmental impact of the non-compliance?</p> <p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
<p>Regular monitoring of water levels and of all other parameters has been undertaken, the results of which are considered to be sufficient to assess impacts to the environment. Therefore, no actual environmental impact is considered to have occurred.</p>			
Cause (or suspected cause) of non-compliance:			
<p>This was unfortunately overlooked due to a change in the monitoring conditions following a license amendment.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
<p>Improvements in planning of monitoring and communication of requirements to ensure that omissions do not occur in future.</p>			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	4.1	Date(s) of non-compliance:	2020-2021 annual period
Details of non-compliance:			
<p>IR2 in the superseded licence, IR1 in the licence issued October 2020. – Water balance for the site has not been submitted to the CEO on a quarterly basis since April 2020 when it was included as a requirement.</p>			
<p>What was the actual (or suspected) environmental impact of the non-compliance?</p> <p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
<p>It is noted that the converted TSF1-10 recovery system from mid-2019 has been operational throughout the 2020/2021 reporting period. In addition, groundwater monitoring from the four quarterly monitoring events during this annual period indicated that groundwater impact from the TSF and processing operations appears to be limited in lateral and vertical extent.</p> <p>Therefore, non-compliance with the condition to submit the quarterly water balance to the CEO for the two quarters is not considered to have resulted in environmental impact.</p>			
Cause (or suspected cause) of non-compliance:			
Limitations with availability of site personnel to undertake the task.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Requirement to submit water balance has been recorded within GMM internal notification systems to advise when due.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.2.1	Date(s) of non-compliance:	1 December 2020

Section E – Details of non-compliance with licence condition

Details of non-compliance:

DWER AER not submitted by 1 December 2020 deadline.

What was the actual (or suspected) environmental impact of the non-compliance?

NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.

No environmental impact of non-compliance.

Cause (or suspected cause) of non-compliance:

Awaiting data and clarifications on certain items of data for inclusion in report.

Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:

Setup calendar notifications for 2021.

Was this non-compliance previously reported to DWER?

☐ No ☒ Yes, and

☒ Reported to DWER verbally

Date: 10 / 12 / 2020


☐ Reported to DWER in writing

Date: / /

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		Date:	
Seal (if signing under seal):			