



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Section A – Licence details

Licence number:	L8621/2011/1	Licence file number:	2011/009784-1~16
Licence holder name:	Roy Hill Iron Ore Pty Ltd		
Trading as:	Roy Hill Iron Ore Pty Ltd		
ACN:	123 722 038		
Registered business address:	5 Whitham Road PERTH AIRPORT WA 6105		
Reporting period:	01/01/2021 to 31/12/2021		

Section B – Statement of compliance with licence conditions

Did you comply with all of your licence conditions during the reporting period?
(please tick the appropriate box)

- Yes – please complete:
- section C;
 - section D (if required); and
 - sign the declaration in Section F.
- No – please complete:
- section C;
 - section D (if required);
 - section E; and
 - sign the declaration in Section F.

Section C – Statement of actual production

Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.

Prescribed premises category	Actual production quantity
5	72,787,361 tonnes/annual period processed 56,038,601 tonnes/annual period produced 4,030,365 tonnes for Magnetic Separation Plant
6	45,166,131 tonnes/annual period
12	3,629,701 tonnes/annual period
52	0MW
73	5,102m ³ maximum
85B	3.63 G/L per year

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
54	387m ³ /day
57	No more than 1,000 used tyres stored onsite
64	1633.73 tonnes


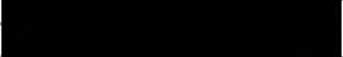

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	9	Date(s) of non-compliance:	November 2021
Details of non-compliance:			
During the site audit, a few pieces of windblown waste were observed outside the landfill.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
It is not expected that any environmental impact occurred from this non-compliance.			
Cause (or suspected cause) of non-compliance:			
Waste appeared to be windblown, from open trenches prior to backfill.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Relevant site team were informed of non-compliance and advised to perform clean-up of waste. Site team confirmed that waste was retrieved and properly disposed of.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	10	Date(s) of non-compliance:	November 2021
Details of non-compliance:			
During the site audit of PSA WWTP, it was found the sprayfield irrigation system does not cover the extent of the sprayfield.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
It is not expected that any environmental impact occurred from this non-compliance.			
Cause (or suspected cause) of non-compliance:			
PSA WWTP sprayfield was altered however the irrigation system was not modified/extended to ensure even distribution of treated wastewater across the entire sprayfield.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Relevant site team were informed of non-compliance and advised to modify/extend the irrigation system to ensure even distribution of treated wastewater across the entire sprayfield. This work is due for completion in 2022.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	11	Date(s) of non-compliance:	January-November 2022
Details of non-compliance:			
<p>During the site audit of the AGTSF, it was identified the TSF delivery pipeline secondary containment at the booster station is not sufficient to contain a spill for a period equal to the time between routine inspections.</p> <p>Twice daily inspections of the AGTSF and Z5 IPTSF were undertaken only during tailings disposal and high rainfall events</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
<p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
It is not expected that any environmental impact occurred from this non-compliance.			
Cause (or suspected cause) of non-compliance:			
<p>The silt pit dredging was prevented from being completed due to inclement weather Twice daily inspections are undertaken on the integrity of all the tailings delivery and tailings decant pipelines only during tailings disposal and high rainfall events. This change in inspection regime is due to low risk to AGTSF as this is only being used for decant water disposal</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Silt pit dredging has been completed.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	12	Date(s) of non-compliance:	January-December 2022
Details of non-compliance:			
A review of TSF inspection records confirmed that the TSFs freeboard is not checked daily at the AGTSF.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
It is not expected that any environmental impact occurred from this non-compliance.			
Cause (or suspected cause) of non-compliance:			
This is due to low risk of exceeding freeboard as there is currently no discharge of tailings. Regular aerial surveys are conducted of the TSFs. Recent surveys at the AGTSG indicate that consolidation of the tailings is resulting in additional capacity in the AGTSF.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Relevant site team was informed of non-compliance and confirmed inspection checklists will be updated to ensure freeboard monitoring is undertaken. This is scheduled to be completed in Q2 2022.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	36	Date(s) of non-compliance:	May-June 2021
Details of non-compliance:			
<p>Total Suspended Solids (TSS) data is missing for the month of June for RHIB0239, RHIB0249, RHIB0251, RHIB0254, RHIB0258, RHIB0431, RHIB0433, RHIB0434, RHIB0435 and RHIB0436.</p> <p>TSS data is missing for the month of May for RHIB0268 and RHIB0432. This was due to a lab error resulting in the required analysis not being conducted.</p>			
<p>What was the actual (or suspected) environmental impact of the non-compliance?</p> <p>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</p>			
It is not expected that any environmental impact occurred from this non-compliance.			
Cause (or suspected cause) of non-compliance:			
<p>Error with transport and Chain of Custody (CoC) forms lead to TSS data not being analysed by the nominated lab.</p> <p>Samples were lost in transit resulting in no analysis being completed for June 2021.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Controls put in place to monitor/track laboratory results, missing data from scheduled time will result with samples being retaken/resampled within the required timeframe.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section F – Declaration			
I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular ¹ .			
I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.			
Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:	28/03/22	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.