

Annual Audit Compliance Report form

Environmental Protection Act 1986, Part V

| Section A – Licence Details | | | |
|-----------------------------|---|----------------------|------------|
| Licence Number: | L8431/2010/2 | Licence File Number: | DEC8830-02 |
| Licence Holder: | Pilbara Iron Pty Ltd | | |
| Trading as: | Rio Tinto Iron Ore | | |
| ACN: | 107 216 535 | | |
| Registered address: | L18, Central Park. 152-158 St. Georges Terrace, PERTH WA 6000 | | |
| Reporting period: | 1 January 2018 to 31 December 2018 | | |


| Section B – Statement of compliance with licence conditions | |
|---|---|
| Did you comply with all of your licence conditions during the reporting period? | |
| Yes <input type="checkbox"/> | Please complete Sections C, D (if required) and sign the declaration in Section E |
| No <input checked="" type="checkbox"/> | Please complete Sections C, D (if required), F and sign the declaration in Section E |

| Section C – Statement of actual production | | |
|--|-----------------------------|-----------------------------------|
| Provide the actual production quantity for this reporting period.* | | |
| Category | Premises description | Actual production quantity (2018) |
| 52 | Electrical power generation | 230 Megawatts |

*Supporting information provided in the relevant conditions of the 2018 Annual Environment Report

| Section D – Statement of actual Part 2 waste discharge quantity | | |
|--|----------------------|---|
| Provide the actual Part 2 waste discharge quantity for this reporting period.* | | |
| Category | Premises description | Actual Part 2 Waste Discharge Quantity (2018) |
| Not Applicable – no categories relevant to Part 2 waste discharge quantity. | | |

*Supporting information provided in the relevant conditions of the 2018 Annual Environment Report

| Section E – Declaration | |
|---|---|
| I declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular ¹ . I consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website. | |
| Signature ² : |  |
| Name: (printed) | Amit Bareja |
| Position: | General Manager, Core Services (acting) – Iron Ore |
| Date: | 30/04/2019 |

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder

Section F – Details of non-compliance with licence condition

Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.

| | | | |
|--------------------------|---|-----------------------------------|-------------------|
| Condition number: | 2 | Date(s) of non-compliance: | 21 – 22 June 2018 |
|--------------------------|---|-----------------------------------|-------------------|

Details of non-compliance:

GTG8 engine was unavailable for the June exhaust stack emission testing due to works requiring the turbine to be offline for several weeks.

What was the actual (or suspected) environmental impact of the non-compliance?

There was no environmental impact as a result of this non-compliance.

Cause (or suspected cause) of non-compliance:

The prolonged repair period of the turbine coincided with the scheduling of the June bi-annual stack test which was unable to be rescheduled.

Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:

The non-compliance was due to an unforeseen breakdown which required parts of the engine to be sent overseas. GTG8 was able to be tested during the December 2018 stack testing program and results within range.

Was this non-compliance reported to the DWER?

| | |
|---|--|
| <input type="checkbox"/> Yes: <input type="checkbox"/> Reported to DWER verbally Date: <input type="checkbox"/> Reported to DWER in writing Date: | <input checked="" type="checkbox"/> No |
|---|--|