



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V Division 3*

Once completed, please submit this form either via email to [info@dwer.wa.gov.au](mailto:info@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 10  
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L8194/2007/3	Licence file number:	2013/001082
Licence holder name:	Fortescue Metals Group Ltd		
Trading as:	Fortescue Metals Group Ltd		
ACN:	002 594 872		
Registered business address:	Level 2, 87 Adelaide Terrace East Perth 6004 WA		
Reporting period:	01/01/2020 to 31/12/2020		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required); and</li><li>• sign the declaration in Section F.</li></ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>• section C;</li><li>• section D (if required);</li><li>• section E; and</li><li>• sign the declaration in Section F.</li></ul>

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 58 - Bulk material loading or unloading – Iron ore (hematite ore) up to 188,000,000 tonnes Iron ore (magnetite ore) from Iron Bridge Concentrate Handling Facility up to 210,000,000 tonnes (exported) Total volume – 210,000,000 tonnes	180,294,543 tonnes of iron ore exported
Category 70 – Screening of materials - rail ballast up to 45,000 tonnes	0 tonnes of rail ballast screened

<b>Section D – Statement of actual Part 2 waste discharge quantity</b>	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
<b>Prescribed premises category</b>	<b>Actual Part 2 waste discharge quantity</b>
Category 85	A total of 1,309,155 kL of wastewater was discharged from the desalination plan

<b>Section E – Details of non-compliance with licence condition</b>			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
<b>Condition no:</b>	<b>Condition 2</b>	<b>Date(s) of non-compliance:</b>	<b>02/09/2020 – 31/12/2020</b>
<b>Details of non-compliance:</b>			
A road sweeper was not present on site as per the requirements of Condition 2 to undertake a manual dry sweep at the wharf daily when shiploading occurs, as per the requirements of Schedule 3, Table 15, Row 11.			
<b>What was the actual (or suspected) environmental impact of the non-compliance?</b>			
<b>NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.</b>			
There is no known actual or suspected environmental impact due to this non-compliance.			
During the period, there has been no Reportable boundary monitoring events, which indicates any increase in dust would have been minimal from the premise.			
<b>Cause (or suspected cause) of non-compliance:</b>			
Procurement of a road sweeper has not been able to be completed prior to the end of the reporting period. A unit has been selected for both performance and ability to fit into the required areas on the wharf, however the vendor has extended expected delivery dates as the unit is awaiting delivery from overseas. Fortescue has been in ongoing discussions with vendors in both the Pilbara and Perth to undertake contract services as an interim measure until the purchased unit was on site, however, have been unable to secure this service prior to 31 December.			
<b>Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:</b>			
The primary control which has been in use during the period is mechanical cleanup to remove build up as required and the use of a water cart dribble bar to keep the dust levels down where required. Fortescue has now secured a weekly contract with Qube to undertake cleaning of the wharf until the purchased unit arrives in April 2021.			
<b>Was this non-compliance previously reported to DWER?</b>			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally	Date: / /		
<input type="checkbox"/> Reported to DWER in writing	Date: / /		

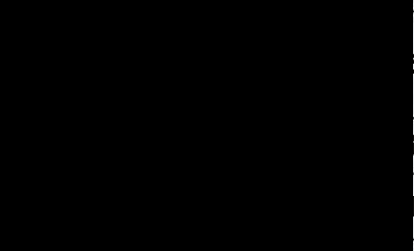
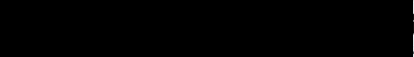
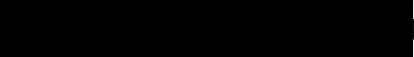
Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Condition 3	Date(s) of non-compliance:	30/09/20 31/10/20 30/11/20
Details of non-compliance:			
Dust control equipment were below the required 90% availability as required by Condition 3.			
What was the actual (or suspected) environmental impact of the non-compliance? <b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
There is no known actual or suspected environmental impact due to this non-compliance.  During the period, there has been no Reportable boundary monitoring events which indicates any increase would be minimal from the premise.			
Cause (or suspected cause) of non-compliance:			
To comply with the requirements of the amended licence a significant amount of infrastructure is required to be maintained. This has required a significant improvement in maintenance regimes (installation of controls, monitoring, maintenance, availability) unable to be completed prior to 31 November.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Fortescue has undertaken significant maintenance activities to improve the performance of the required infrastructure and implemented procedural improvements. Fortescue equipment availability has reached the required level in December 2020.  Ongoing work is scheduled into planned shutdown activities to ensure the requirements of Condition 3 and 6 are met.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	Condition 22	Date(s) of non-compliance:	30/09/20 31/10/20 30/11/20 31/12/20
Details of non-compliance:			
90% of the in-loaded ore to the premises did not have a moisture content at or above the required DEM level as required by Condition 22.			
What was the actual (or suspected) environmental impact of the non-compliance? <b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
There is no known actual or suspected environmental impact due to this non-compliance.  During the period, there has been no Reportable boundary monitoring events which indicates any increase would be minimal from the premise.  Moisture percentages have been approximately 80% and above during the reporting period.			
Cause (or suspected cause) of non-compliance:			
The dry processing operations and lack of water availability at multiple mining operations within Fortescue has resulted in some ore arriving at Anderson Point MHF below DEM.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Fortescue has appointed an employee to investigate this issue and identify necessary changes within the supply chain to improve performance.  Dry products arriving at the port have additional visual monitoring for airborne dust, with additional water application applied as required.  Fortescue has commenced investigating if below DEM product entering the Port in-load circuit affects air quality and what specific management actions may be required.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:	10/03/2021	Date:	
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.

