



## Annual Audit Compliance Report Form

*Environmental Protection Act 1986, Part V Division 3*

Once completed, please submit this form either via email to [info@dwer.wa.gov.au](mailto:info@dwer.wa.gov.au), or to the below postal address:

Department of Water and Environmental Regulation  
Locked Bag 10  
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L6465/1989/10	Licence file number:	2010/007470-1~1
Licence holder name:	Alcoa of Australia Limited		
Trading as:			
ACN:	004 879 298		
Registered business address:	181-205 Davy Street BOORAGOON WA 6154		
Reporting period:	01 / 01 / 2021 to 31 / 12 / 2021		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"><li>section C;</li><li>section D (if required); and</li><li>sign the declaration in Section F.</li></ul>
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"><li>section C;</li><li>section D (if required);</li><li>section E; and</li><li>sign the declaration in Section F.</li></ul>

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
Category 05 - Processing or beneficiation of metallic or non-metallic ore	10,462,296 tonnes of bauxite crushed.

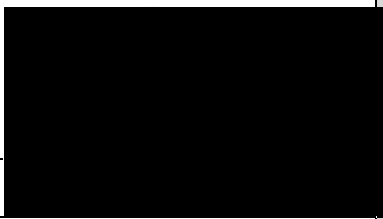

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
N / A	N / A

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	E1	Date(s) of non-compliance:	16 April 2021 30 April 2021 22 November 2021
Details of non-compliance:			
Noise emissions from the Premises exceeded the assigned levels in the <i>Environmental Protection (Noise) Regulations 1997 (WA)</i> on 16 April 2021, 30 April 2021 and 22 November 2021 between 19:00 and 07:00. On these dates, the exceedances occurred when weather conditions were favourable for sound propagation from the Premises towards Receiving Location 1 ( <b>R1</b> ) and Receiving Location 9 ( <b>R9</b> ). The locations of R1 and R9 are contained on the map in Figure 1 provided with this report.			
What was the actual (or suspected) environmental impact of the non-compliance?			
<b>NOTE</b> – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
No actual (or suspected) environmental impact associated. Refer to Figure 1 for a map showing R1 and R9 in relation to the Premises and associated infrastructure.			
Cause (or suspected cause) of non-compliance:			
Suspected causes for the exceedances at R1 (16 April 2021 and 22 November 2021) included the Arundel Transfer Station and Conveyors 371 and 374 along the sections closest to R1.			
Suspected causes for the exceedance at R9 (30 April 2021) included mobile equipment, the 360 Crusher at Larego and Conveyor 374 along the sections closest to R9.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
A Noise Management Plan has been developed by a consultant and submitted to DWER in accordance with Condition N4 of the licence. Engineering solutions are currently being investigated to reduce noise levels associated with the infrastructure at Arundel.			
Was this non-compliance previously reported to DWER? Not applicable.			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: 27 / 05 / 2021*	
		*The non-compliance for 22 November 2021 had not been reported to DWER at the time of submission of this Report.	

**Section F – Declaration**

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular<sup>1</sup>.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature <sup>2</sup> :		Signature:	
Name: (printed)		Name: (printed)	
Position:	Vice President Operations	Position:	Australia Controller
Date:	29/03/2022	Date:	29/03/2022
Seal (if signing under seal):			

<sup>1</sup> It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

<sup>2</sup> AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.