

SECTION A

LICENCE DETAILS

Licence Number: L5271/1983/14	Licence File Number: DEC643
Company Name: Alcoa of Australia Limited	ABN: 93 004 879 298
Trading as: Alcoa World Alumina Australia	
Reporting period: 1st January 2017 to 31st December 2017	

STATEMENT OF COMPLIANCE WITH LICENCE CONDITIONS

1. Were all conditions of the licence complied with within the reporting period? (please tick the appropriate box)

Yes Please proceed to Section C

No Please proceed to Section B

Each page must be initialed by the person(s) who signs Section C of this annual audit compliance report

INITIAL: 

SECTION B

DETAILS OF NON-COMPLIANCE WITH LICENCE CONDITION.

Please use a separate page for each licence condition that was not complied with.

a) Licence condition not complied with:	
A11) OXALATE KILN – MANAGEMENT OF RTO BYPASS The Licensee shall immediately cease feed to the Oxalate Kiln if the RTO has been bypassed for more than 10 consecutive minutes.	
b) Date(s) when the non compliance occurred, if applicable?	
26 September 2017	
c) Was this non compliance reported to DER?	
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> Reported to DER verbally Date <input checked="" type="checkbox"/> Reported to DER in writing Date: 23 March 2018	<input type="checkbox"/> No
d) Has DER taken, or finalised any action in relation to the non compliance?	
No	
e) Summary of particulars of the non compliance, and what was the environmental impact?	
<p>On 26 September 2017, there was an event where one of the Oxalate Kiln's wet scrubber induced draft fans malfunctioned. As a result, the RTO went into bypass mode. The RTO was bypassed for 33 consecutive minutes until the malfunction with the induced draft fan was rectified. During this 33 minute period, the kiln feed pump remained online and did not automatically shut down prior to 10 consecutive minutes of the RTO being bypassed, due to the automated process control system being temporarily deactivated whilst operators undertook unrelated maintenance activities on the level indicator on the Kiln feed tank. The event did not cause pollution or environmental harm as there was minimal gas exiting the RTO stack at the time due to the ID fans, kiln dilution air and combustion air fans being offline.</p>	
f) If relevant, the precise location where the non compliance occurred (attach map or diagram):	
Operations Centre 2, Oxalate Kiln RTO.	
g) Cause of noncompliance:	
<p>The kiln feed pump remained online and did not automatically shut down prior to 10 consecutive minutes of the RTO being bypassed, due to the automated process control system being temporarily deactivated whilst operators undertook unrelated maintenance activities on the level indicator on the Kiln feed tank. The Alcoa employees who were undertaking this maintenance work were not aware that their action could potentially impact on the operation of the oxalate kiln RTO process control system.</p>	
h) Action taken, or that will be taken to mitigate any adverse effects of the non compliance:	

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INITIAL

At the immediate time of the event the oxalate kiln feed was ceased, out of service tags were placed on manual override switches, and a temporary work instruction was issued to the operating personnel prohibiting the use of the manual override switches without manager approval. There were no adverse effects associated with the non compliance. The event did not cause pollution or environmental harm as there was minimal gas exiting the RTO stack at the time due to the ID fans, kiln dilution air and combustion air fans being offline.

i) Action taken or that will be taken to prevent recurrence of the non compliance:

Alcoa has taken action to ensure that operators will not be able to deactivate automated process control systems when undertaking similar maintenance activities in the future, and updated operator training materials accordingly.



SECTION C

SIGNATURE AND CERTIFICATION

This Annual Audit Compliance Report (AACR) may only be signed by a person(s) with legal authority to sign it. The ways in which the AACR must be signed and certified, and the people who may sign the statement, are set out below.

Please tick the box next to the category that describes how this AACR is being signed. If you are uncertain about who is entitled to sign or which category to tick, please contact the licensing officer for your premises.

If the licence holder is		The Annual Audit Compliance Report must be signed and certified:
An individual	<input type="checkbox"/> <input type="checkbox"/>	by the individual licence holder, or by a person approved in writing by the Chief Executive Officer of the Department of Environment Regulation to sign on the licensee's behalf.
A firm or other unincorporated company	<input type="checkbox"/> <input type="checkbox"/>	by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment Regulation.
A corporation	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	by affixing the common seal of the licensee in accordance with the Corporations Act 2001; or by two directors of the licensee; or by a director and a company secretary of the licensee, or if the licensee is a proprietary company that has a sole director who is also the sole company secretary – by that director, or by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment Regulation.
A public authority (other than a local government)	<input type="checkbox"/> <input type="checkbox"/>	by the principal executive officer of the licensee; or by a person with authority to sign on the licensee's behalf who is approved in writing by the Chief Executive Officer of the Department of Environment Regulation.
a local government	<input type="checkbox"/> <input type="checkbox"/>	by the chief executive officer of the licensee; or by affixing the seal of the local government.

It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular. There is a maximum penalty of \$50,000 for an individual or body corporate.

I/We declare that the information in this annual audit compliance [redacted] is or misleading in a [redacted]

SIGNATURE: [redacted]

SIGNATURE: [redacted]

NAME: (printed) [redacted]

NAME: (printed) [redacted]

POSITION: Finance Director

POSITION: Refining Chief Operating Officer

DATE: 8 / 3 / 2018

DATE: 19 / 3 / 2018

RE: EXT: Request for consent to publish Alcoa Pinjarra L5271/1983/14 2017 Annual Audit Compliance Report

[Redacted] [Redacted]@alcoa.com>
To [Redacted]

 This sender [Redacted]@alcoa.com is from outside your organization.
 You replied to this message on 13/02/2023 3:00 PM.

Hi [Redacted]

Alcoa Pinjarra provides consent for the department to publish the 2017 AACR, redacted version, on their website.

Please let me know if you required a redacted version

Kind regards
[Redacted]

 Reply  Reply All  Forward 

Mon 13/02/2023 2:24 PM