



Government of **Western Australia**
Department of **Water and Environmental Regulation**

Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details			
Licence number:	L4247/1991/13	Licence file number:	2012/0071641
Licence holder name:	Talison Lithium Australia Pty Ltd		
Trading as:			
ACN:	139 401 308		
Registered business address:	Level 4 37 St Georges Terrace PERTH WA 6000		
Reporting period:	01/07/2020 to 30/06/2021		

Section B – Statement of compliance with licence conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none"> • section C; • section D (if required); and • sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none"> • section C; • section D (if required); • section E; and • sign the declaration in Section F.

Section C – Statement of actual production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual production quantity
05	2,223,356

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
05	1,527,017

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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.2.1	Date(s) of non-compliance:	07/02/2021 - 09/02/2021
Details of non-compliance:			
Overflow from Carters Farm occurred 07/02/2021 - 09/02/2021 and a sample was not taken before the discharge ceased (monitoring of point source emissions from this location required by condition 3.2.1).			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
An administrative non-compliance that resulted in negligible actual or suspected environmental impact.			
Cause (or suspected cause) of non-compliance:			
The discharge was of short duration and ceased prior to field personnel deploying to take a sample for analysis.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Talison has set up an alarm/notification alert for when the discharge starts to flow to avoid this occurring in the future. DWER were notified in the relevant Quarterly Report (Jan-Mar 2021).			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: Quarterly Report sent to DWER on 28/04/2021	

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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.4.1 Table 3.4.1	Date(s) of non-compliance:	07/11/2020, 24/12/2020, 31/12/2020 and 24/04/2021. 10/11/2020, 26/12/2020, 29/12/2020, 02/01/2021, 07/01/2021, 02/03/2021, 08/04/2021 and 27/04/2021.
Details of non-compliance:			
<p>Ambient dust monitoring was not conducted in accordance with Condition 3.4.1 Table 3.4.1 for the following instances:</p> <ul style="list-style-type: none"> - 48-hour samples were collected on four (4) occasions contrary to the requirement to collect 24-hour samples. This occurred on the following dates: 07/11/2020; 24/12/2020; 31/12/2020; 24/04/2021. - samples were not collected on eight (8) occasions contrary to the requirement to collect samples continuously. This occurred on the following dates: 10/11/2020; 26/12/2020; 29/12/2020; 02/01/2021; 07/01/2021; 02/03/2021; 08/04/2021; 27/04/2021. 			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
An administrative non-compliance that resulted in negligible actual or suspected environmental impact.			
Cause (or suspected cause) of non-compliance:			
Lack of awareness by field personnel of the importance in collecting the monitoring data to the required standard, and limited capacity to perform the required sampling on public holidays.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
A resourcing schedule is being developed to ensure that there is appropriate coverage (especially around public holidays) to ensure that samples are collected to the required standard. DWER were notified in the Annual Environmental Report.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: Annual Environmental Report sent to DWER 21/09/2021	

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Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.4.1 Table 3.4.3	Date(s) of non-compliance:	Jul – Sep 2020 Oct – Dec 2020
Details of non-compliance:			
<p>Standing water level was not recorded in the field at the time of sampling for the following:</p> <ul style="list-style-type: none"> - the standing water level in one (1) bore (MB17/04I) was not recorded in the field at the time of sample collection during the Jul-Sep 2020 quarter. This error was reported in the July-September 2020 quarterly report. - the standing water level in two (2) bores (MB17/03I and MB17/04D) was not recorded in the field at the time of sample collection during the Oct-Dec 2020 quarter. This error was reported in the October-December 2020 quarterly report. - the standing water level in one (1) bore (MB17/08S) was not recorded in the field at the time of sample collection during the Oct-Dec 2020 quarter. 			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
An administrative non-compliance that resulted in negligible actual or suspected environmental impact.			
Cause (or suspected cause) of non-compliance:			
Human error			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
Field record sheets and data administration practices have been updated.			
Was this non-compliance previously reported to DWER?			
<input checked="" type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input checked="" type="checkbox"/> Reported to DWER in writing		Date: Quarterly Reports sent to DWER on 29/10/2020 and 27/01/2021	

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Section F – Declaration

I / ~~We~~ declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / ~~We~~ consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :	Signature:	--	
Name: (printed)	Name: (printed)	--	
Position:	Position:	--	
Date:	Date:	--	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.