



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V

Section A – Licence Details			
Licence number:	L8898/2015/1	Licence file number:	DER2015/000814
Licence holder:	BHP Billiton Iron Ore Pty Ltd		
Trading as:	BHP Billiton Iron Ore Pty Ltd		
ACN:	46 008 700 981		
Registered address:	Level 1, City Square Brookfield Place 125 St Georges Terrace PERTH WA 6000		
Reporting period:	01/07/2019 to 30/06/2020		

Section B – Statement of Compliance with Licence Conditions
Did you comply with all of your licence conditions during the reporting period? (please tick the appropriate box)
<input type="checkbox"/> Yes – please complete: <ul style="list-style-type: none">• section C;• section D if required; and• sign the declaration in Section F.
<input checked="" type="checkbox"/> No – please complete: <ul style="list-style-type: none">• section C;• section D if required;• section E; and• sign the declaration at Section F.

Section C – Statement of Actual Production	
Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Production Quantity
62 - Solid waste depot	151 t

Section D – Statement of Actual Part 2 Waste Discharge Quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed Premises Category	Actual Part 2 Waste Discharge Quantity

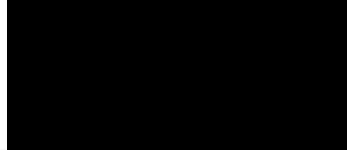

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	2.3.1	Date(s) of non-compliance:	September 2019
Details of non-compliance:			
During the reporting period, the September written inspection for presence of fire or damage was not completed.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
There was no known actual or suspected environmental impact resulting from the missed inspections.			
Cause (or suspected cause) of non-compliance:			
Team responsible did not assign the inspection requirement to a team member, as the process was new to this Department.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
The inspection requirement was reiterated with responsible team.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date:	
<input type="checkbox"/> Reported to DWER in writing		Date:	

Section E – Details of Non-Compliance with Licence Condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	1.3.3	Date(s) of non-compliance:	May 2020
Details of non-compliance:			
During an internal audit, it was identified that the width between the blocks of stored sleepers had reduced by 1.5 m at one end of a sleeper block, thereby making the separation distance less than the required 15 m.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
There was no known actual or suspected environmental impact resulting from the sleepers being stored less than 15 m apart.			
Cause (or suspected cause) of non-compliance:			
As the block had been added to over time, it appears that only line of sight was used to ensure the blocks remained 15 m apart.			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
The sleepers were moved to maintain the required 15 m of separation between the blocks.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date:	
<input type="checkbox"/> Reported to DWER in writing		Date:	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:	Acting Asset President Western Australian Iron Ore (WAIO)	Position:	
Date:	02/09/2020	Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.